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PATENT APPLICATION

						Sheet 1 of !	5		
		O 1440			ATTY. DOCKET NO.	SERIAL NO			
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	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE				APPLICANT				
		STATEMEN	IT		Jay K. Bass FILING DATE	GROUP			
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REFEREN	ICE I	DESIGNATION	U.S. PAT	ENT I	DOCUMENTS				
EXAMINER INITIAL	*	DOCUMENT NUMBE	R DATE		NAME				
RSN	* 4,067,019		Jan. 3, 1978		Fleischer et al.				
	•	4,128,841	Dec. 5, 1978		Brown et al.				
	•	4,323,905	Apr. 6, 1982		Reitberger et al.				
	٠	4,675,696	Jun. 23, 1987		Suzuki				
	•	4,872,028	Oct. 3, 1989		Lloyd				
	* 5,124,720 Jun. 23, 1992 * 5,449,754 Sep. 12, 1995		Jun. 23, 1992		Schantz				
				Nishioka					
	•	5,508,178	Apr. 16, 1996		Rose et al.			· · · · · · · · · · · · · · · · · · ·	
	•	5,508,200	Apr. 16, 1996		Tiffany et al.				
$-\Lambda$	•	5,554,339	Sep. 10, 1996	i	Cozzette et al.				
			FORI	EIGN I	PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE		NAME		YES	NO NO	
RSN	٠	WO 93/17325	02 Sep. 1993	Math	ies et al.				
	*	WO 95/25116	21 Sep. 1995	Baldeschweiler et al.					
	*	EP 0 334 546	27 Sep. 1998	Lloyd					
	<u> • </u>	WO 99/05308	04 Feb. 1999	Moyr	nihan et al.				
V	•	WO 00/34523	15 Jun. 2000	Baier				<u> </u>	
		OTHER REFEREI	NCES (including	Autho	or, Title, Date, Pertinent Pag	jes, etc.)			
RSN	•	Budach et al., "Planar Waveguides as High-Performance Sensing Platforms for Fluorescence-Bas d Multiplexed Oligonucleotide Hybridization Assays", ANALYTICAL CHEMISTRY, Vol. 71, 1999 pp. 3347-3355.							
RSN	•	Marshall, A. and Hodgson, J., "DNA Chips: An Array of Possibilities", NATURE BIOTECHNOLOGY, Vol. 16, Jan. 1998, pp. 27-31.							
			"Programmable Er	ror C o	nntcotion PKE 80", RSF Electro	onik, Rancho (ordov	ла, СА,	
		(undated).	not pr						
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^{*}C pies f these r f renc s are not encl sed pursuant to 37 CFR 1.98(d). (Se accompanying IDS)

PATENT APPLICATION

						Sheet 2 of	f 5	
FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)					ATTY. DOCKET NO. 10990629-3 APPLICANT Jay K. Bass FILING DATE Hosewith 6/30/03	SERIAL 1061	Y817	
REFEREN	CE E	DESIGNATION	U.S. PAT	ENT DOCUMENTS				
EXAMINER * DOCUMENT NUMBER			DATE		NAME			-
RSN	•	5,578,832	Nov. 26, 1996		Trulson et al.		···	
	* 5,581,487		Dec. 3, 1996		Kelly et al.			
	•	5,585,639	Dec 17, 1996 Feb. 11, 1997		Dorsel et al.			
	*	5,601,980			Gordon et al.			
	*	5,631,734	May 20, 1997		Stern et al.	•		
	*	5,658,802	Aug. 19, 1997		Hayes et al.			-
	*	5,721,435	Feb. 24, 1998		Troll			
	*	5,744,305	Apr. 28, 1998		Fodor et al.		-	
	* `	5,760,951	Jun. 2, 1998		Dixon et al.		-	
V	*	5,763,870	Jun. 9, 1998		Sadler et al.			
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		DOCUMENT NUMBER	DATE		NAME		TRAN	SLATIO
RSN	•	WO 00/60425 1	2 Oct. 2000	Golde	enberg et al.	 .	1	
RSN	·	GB 2 355 716	2 May 2001	Webl	o et al.			
				···				
	l	OTHER REFEREN	OES (including	A4b -	or. Title. Date. Pertinent Pag			

	OTHER REPERCIOES (including Addition, Tide,	Date, Fertilient Fages, etc.)
	"Biochip Arrayer: Automated DNA Microarray Fabr http://www.packardinst.com/prod_serv/biochiparra 3 ppdate downloaded - 10/13/99.	ication", yer.htm, Packard Instrument Company, 1999,
•	"Press Release: New Options Optimize Array Technttp://www.packardinst.com/pr/pr899-2 htm. Packate downloaded - 10/13/99.	nelogy", ard Instrument Company, Aug. 1, 1999, 2 pp.,
•	Chen, Y. et al., Ratio-Based Decisions and the Qu JOURNAL OF BIOMEDICAL OPTICS, Vol. 2, No. 4 not provided	antitative Analysis of cSNA Microarray Images", 1997, pp. 364-374.
EXAMINER	DATE	CONSIDERED

^{*}C pies of these ref renc s are not inclosed pursuant to 37 CFR 1.98(d). (See acc impanying IDS)

PATENT APPLICATION

Sheet 3 of 5

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5,800,992 5,801,970 5,807,522	DATE		-			
5,800,992 5,801,970 5,807,522		NAME		 		
5,801,970 5,807,522	Sep. 1, 1998					
5,807,522		Fodor et al.				
 	Sep. 1, 1998	Rowland et	al.			
E 012 072	Sep. 15, 1998	Brown et al				
5,812,272	Sep. 22, 1998	King et al.				
5,815,593	Sep. 29, 1998	Shaum et a	ı.			
5,825,483	Oct. 20, 1998	Michael et a	al.			
5,834,758	Nov. 10, 1998	Trulson et a	al.			
5,837,475	Nov. 17, 1998	Dorsel et al	•			
5,843,655	Dec. 1, 1998	McGall				
* 5,856,174 Jan. 5, 1999		Lipshutz et	al.			
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^{*}Copi s of these r ferences are not encl sed pursuant to 37 CFR 1.98(d). (S e accompanying IDS)

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				10990629-3						
		PATENTS AND PUBLICA NT'S INFORMATION DI			APPLICANT					
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EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE		NAME	, , , , , , , , , , , , , , , , , , , 				
RSN	٠	5,861,242	Jan. 19, 1999	,	Chee et al.					
	*	5,981,733	Nov. 9, 1999		Gamble et al.					
	•	5,982,534	Nov. 9, 1999		Pinkel et al.					
* 5,984,470 Nov. 16, 1999			Sakino et al.							
	* 6,001,309 Dec. 14, 1999 * 6,024,925 Feb. 15, 2000 * 6,045,996 Apr. 4, 2000 * 6,140,044 Oct. 31, 2000			Gamble et al.						
				Little et al.						
				Cronin et al.						
				Besemer et al.						
	٠	6,232,072	May 15, 2001		Fisher					
V	*	6,238,862	May 29, 2001		McGall					
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^{*}C pies f th s referenc s are not enclosed pursuant to 37 CFR 1.98(d). (See acc mpanying IDS)

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XAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME						
RSN	•	6,399,396	Jun. 4, 2002	Bass						
RSN	٠	6,406,851	Jun. 18, 2002	Bass						
RSN	•	6,420,180	Jul. 16, 2002	Bass						
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